

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Stereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with Sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1400, on the below date:

- Name: Gustavo Siller, Jr. Signature: BRINKS HOFER GILSON &LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.: 10/803,015 Filed: March 17, 2004 For: METHOD FOR MAKING HOLDER/OPTICAL-ELEMENT ASSEMBLY Attorney Docket No: 9281-4762 Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL Sir: Attached Is/are: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement, PTO Form 1449, Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$month extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$month extension of time under 37 C.F.R. § 1.17(). An additional filling fee has been calculated as shown below: Claims Remaining After Amendment Highest No. Proviously Paid For Extra Rate Add1 Fee Add	In re	Appln. of:	Kimi	hiro K	ikuchi							
For: METHOD FOR MAKING HOLDER/OPTICAL-ELEMENT ASSEMBLY Attorney Docket No: 9281-4762 Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL Sir: Attached is/are: Transmital Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449, Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$month extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$month extension of time under 37 C.F.R. § 1.17(). An additional filing fee has been calculated as shown below: Claims Remaining	Appli	oln. No.: 10/803,015						Exa	aminer:	To b	e Assi	gned.
HOLDER/OPTICAL-ELEMENT ASSEMBLY Attorney Docket No: 9281-4762 Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL Sir: Attached Is/are: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449, Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$month extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$month extension of time under 37 C.F.R. § 1.17(). An additional filing fee has been calculated as shown below: Claims Remaining	Filed	led: March 17, 2004 Art Unit: 1731										
Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL Sir: Attached Is/are: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$month extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$month extension of time under 37 C.F.R. § 1.17(). An additional filling fee has been calculated as shown below: Small Entity	For:	: METHOD FOR MAKING HOLDER/OPTICAL-ELEMENT										
P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL Sir: Attached Is/are: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17(). An additional filling fee has been calculated as shown below: Small Entity	Attor	ney Docket	No:	9281	-4762							
Attached Is/are: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4	P. O. I	Box 1450						т	RANSI	ЛΙΤ	TAL	
Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$	Sir:											
of Search Report dated February 16, 2005 for European Patent Application No. EP 04 25 0905; Copy of cited references B1-B4 Return Receipt Postcard Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$	Attacl	ned is/are:										
Fee calculation: No additional fee is required. Small Entity. An extension fee in an amount of \$	⊠	of Search Rep	ort date	d Febr	e); Supplemental Ir uary 16, 2005 for E	nformation uropean Pa	Di: ate	sclosure ent Applic	Statement; ation No. E	PTC P 04	Form 14 25 0905	149; Copy ; Copy of
No additional fee is required. Small Entity. An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17(). An additional filing fee has been calculated as shown below: Small Entity	\boxtimes	Return Receipt	Postc	ard								
Small Entity. An extension fee in an amount of \$	Fee ca	alculation:										
An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17(). An additional filing fee has been calculated as shown below: Small Entity		No additional f	ee is re	quired.								
A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17(). An additional filing fee has been calculated as shown below: Small Entity Not a Small Entity		Small Entity.										
An additional filing fee has been calculated as shown below: Small Entity		An extension for	ee in ar	n amour	nt of \$ for a _	mont	th	extensio	n of time ur	ider (37 C.F.R.	§ 1.136(a).
Claims Remaining After Amendment Highest No. Previously Paid For Extra Rate Add'l Fee or Rate Add'l Fee Total Minus X \$25= X \$50= Indep. Minus X \$100= X \$200= First Presentation of Multiple Dep. Claim +\$180= +\$360= Total \$ Total \$ Fee payment: A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$ A copy of this Transmittal is enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.								C.F.R. §	1.17().		
Claims Remaining After Amendment Previously Paid For Extra Rate Add'l Fee or Rate Add'l Fee Total Minus X \$25= X \$50= X \$50= Indep. Minus X \$100= X \$200= First Presentation of Multiple Dep. Claim Y \$180= Y \$180= Y \$100= Y		An additional fi	ling fee	has be	en calculated as sh	nown belov	V:					
After Amendment Previously Paid For Extra Rate Add'l Fee or Rate Add'l Fee Total Minus X \$25= X \$50= Indep. Minus X 100= X \$200= First Presentation of Multiple Dep. Claim X 100= X \$200= Total \$ Total \$ Fee payment: A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$ A copy of this Transmittal is enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR \$ 1.16 and any patent application processing fees under 37 CFR \$ 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.								Small Entity Not a Small Entity				
Indep. Minus x 100= x \$200= First Presentation of Multiple Dep. Claim +\$180= +\$360= Total \$ Total \$ Fee payment: A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.								Rate	Add'l Fee	or	Rate	Add'l Fee
First Presentation of Multiple Dep. Claim +\$180=	Total			Minus				x \$25=			x \$50=	
Fee payment: A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$ A copy of this Transmittal is enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.	Indep.	<u>. 1</u>		Minus				x 100=			x \$200=	
Fee payment: A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.	First Pr	esentation of Mul	tiple De	p. Claim				+\$180=			+ \$360=	
 A check in the amount of \$ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose. ☑ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. 							Į	Total	\$		Total	\$
 □ Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose. □ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. 	•	-										
enclosed for this purpose. The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.												
§ 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.												
Respectfully Submitted,					INT INO. 23-1925 IN T	he amount	of	Φ.	A copy of	this	Transmitt	tal is
		enclosed for th The Director is § 1.16 and an (including any	is purp hereb y pate exten	ose. y autho nt appli sion fe	rized to charge pay ication processing e required to ens	ment of a	ny er	addition	al filing fee § 1.17 as	s red	quired und	der 37 CFR this paper
3/4 05	⊠	enclosed for th The Director is § 1.16 and an (including any	is purp hereb y pate exten	ose. y autho nt appli sion fe	rized to charge pay ication processing e required to ens	ment of a fees unde sure that	ny er thi	addition 37 CFR is paper	al filing fee § 1.17 as is timely	s red	quired und	der 37 CFR this paper
Date Gustavo Siller, Jr. (Reg. No. 32,305)		enclosed for th The Director is § 1.16 and an (including any	is purp hereb y pate exten o Depo	ose. y autho nt appli sion fe osit Acco	rized to charge pay ication processing e required to ens	rment of a fees unde sure that	ny er thi	addition 37 CFR is paper	al filing fee § 1.17 as is timely mitted,	s rec ssocia filed	quired und ated with I), or to	der 37 CFR this paper
2,		enclosed for th The Director is § 1.16 and an (including any	is purp hereb y pate exten o Depo	ose. y autho nt appli sion fe osit Acco	rized to charge pay ication processing e required to ens	rment of a fees unde sure that	ny er thi	addition 37 CFR is paper	al filing fee § 1.17 as is timely mitted,	s rec ssocia filed	quired und ated with I), or to	der 37 CFR this paper
		enclosed for th The Director is § 1.16 and an (including any	is purp hereb y pate exten o Depo	ose. y autho nt appli sion fe osit Acco	rized to charge pay ication processing e required to ens	rment of a fees unde sure that	ny er thi	addition 37 CFR is paper	al filing fee § 1.17 as is timely mitted,	s rec ssocia filed	quired und ated with I), or to	der 37 CFR this paper

MIN C 8 2005 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Appln. of: Kimihiro Kikuchi

Appln. No.: 10/803,015

Filed: March 17, 2004

For: METHOD FOR MAKING

HOLDER/OPTICAL-ELEMENT

ASSEMBLY

Attorney Docket No: 9281-4762

Examiner: To be Assigned.

Art Unit: 1731

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

No.	Date of Publication
Patent Abstracts of Japan 06144853	05/1994
Patent Abstracts of Japan 05294645	11/1993
Patent Abstracts of Japan 05066302	03/1993

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Also enclosed is a copy of a search report dated February 16, 2005 for European Patent Application No. EP 04 25 0905. Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

For purposes of 37 C.F.R. §1.704(d), Applicant certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

Gustavo Siller, Jr. (Reg. No.32,305)

FORM PTO-1449

EXAMINER INITIAL

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

DOCUMENT

NUMBER

(use several sheets if necessary)

SERIAL NO.		CASE NO.			
	10/803,015	9281-4762			
FILING DATE	3/17/2004	GROUP ART UNIT 1731			
APPLICANT(S): Kimihiro Kikuchi					

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS						
DATE	NAME	CLASS/ SUBCLASS	FILING DATE			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLA YES	NOITA ON
	B1	Patent Abstracts of Japan 06144853	05/1994	Japan		X (abstract)	
	B2	Patent Abstracts of Japan 05294645	11/1993	Japan		X (abstract)	
	B3	Patent Abstracts of Japan 05066302	03/1993	Japan		X (abstract)	

EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	B4	Copy of search report dated February 16, 2005 for European Patent Application No. EP 04 25 0905

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Oct. 97